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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/863,933

05/23/2001

Maurice Eduardus Theodorus van Esbroeck

V0028/258607

23370 JOHN S. PRATT, ESQ KILPATRICK STOCKTON, LLP 1100 PEACHTREE STREET **SUITE 2800** ATLANTA, GA 30309



CONFIRMATION NO. 9991 FORMALITIES LETTER *OC000000006329586*

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2001 HVUDNG1 00000021 09863933

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130.00 OP

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 type a plus sign (+) inside this box --> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/863,933 Application Number **TRANSMITTAL** Filing Date May 23, 2001 **FORM** First Named Inventor Van Esbroeck 1722 (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number V0028/258607 ENCLOSURES (check all that apply) Assignment Papers ■ After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences **Appeal Communication to Group** Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): **Combined Declaration for Patent** Terminal Disclaimer Application and Power of Express Abandonment Request Request for Refund Attorney Information Disclosure Statement Notice to File Missing Parts of CD, Number of CD(s) ____ Nonprovisional Application Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kristin L. Johnson, Reg. No. 44,807 Individual name Signature PATENT TRADEMARK OFFICE Date 9/18/01 **CERTIFICATE OF MAILING**

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SEP 2 2001	EE TRANSMITTAL for FY 2001
Tear To ADEMPE	Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

	Complete if Known	
Application Number	09/863,933	
Filing Date	May 23, 2001	
First Named Inventor	van Esbroeck	
Examiner Name		
Group / Art Unit	1722	
Attorney Docket No.	V002/258607	

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)												
The Commissioner is hereby authorized to chame 3 ADDITIONAL FFFS												
indicated fees and credit any over payments to:			Large		Small							
			Fee	Entity Fee	Fee	Entity Fee		Fee				
Depo		44.005					Code	(\$)	Code	(\$)	Fee Description	Paid
Acco		11-085	00				105	130	205	65	Surcharge - late filing fee or oath	130
Number						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Kilpatrick Stockton LLP						139	130	139	130	Non-English specification		
Name		Kiipatii	ick Oloc	ACOTI CEI			147	2.520	147	2,520	For filing a request for reexamination	
						112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	Applicant See 37 C	claims sm FR 1.27	all entit	y status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. 🛛	Payme	nt Enclose	ed:				115	110	215	55	Extension for reply within first month	
					= 0"		116	390	216	195	Extension for reply within second	
	Check	☐ Cred	dit card	☐ Money Order	☐ Other						month	
			FEE C	ALCULATION			117	890	217	445	Extension for reply within third month	
1. E	BASIC FI	LING FEE			*		118	1,390	218	695	Extension for reply within fourth month	
Large	Entity	Small E	Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee			Fee	Fee Description			119	310	219	155	Notice of Appeal	
Code			(\$)		Fee Pa	aid	120	310	220	155	Filing a brief in support of an appeal	
101			355	Utility filing fee			121	270	221	135	Request for oral hearing	
106 107			160 245	Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208 3	355	Reissue filing fee			140	110	240	55	Petition to revive – unavoidable	
114	150	214	75	Provisional filling	fee		141	1,240	241	620	Petition to revive - unintentional	
							142	1,240	242	620	Utility issue fee (or reissue)	
SUPTOTAL (4) (\$\0\)				143	440	243	220	Design issue fee				
2. EXTR	A CLAII	A FEES					144	600	244	300	Plant issue fee	
2. LAII				Extra Fe	ee from Fe	:0	122	130	122	130	Petitions to the Commissioner	
Total Clain	ns 🗀	-20	=		elow Pa	aid	123	130	123	130	Petitions related to provisional applications	
Independen Claims	ıt 🗀	3	=	0 X	= 0		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	<u> </u>			x	= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entit Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descripti			149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in exce							examined (37 CFR § 1.129(b))	
102	80 270	202	40 135	•	daims in excess of ndent claim, if not		179	710	279	355	Request for Continued Examination (RCE)	
104	270 80	204 209	40	** Reissue ind	ependent claims o		169	900	169	900	Request for expedited examination	\vdash
110	18	210	9		ims in excess of 2	0 and					of a design application	
			e	over original p UBTOTAL (2)	(\$) 0	\neg	Other fe	e (specif	y)	=		
			3	00101AL (2)	(4) 0							
**or num	*Reduced by Basic Filing Fee Pald SUBTOTAL (3) (\$) 130.00											

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Kristin L. Johnson	Registration No. Attorney/Agent)	44,807	Telephone	404.815.6389		
Signature	Horm			Date	9/19/01		

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